

Scaling. Scaling relations between quantities.

Let's begin with two quantities X and Y.

X and Y are related to each other. Y is a function of X.

$$Y = f(X)$$

This relation can be dedimensionalized (its dimensions be removed) with two quantities x_0 and y_0 , where x_0 has the dimension of X and y_0 has the dimension of Y:

$$[x_0] = [X] \quad [y_0] = [Y]$$

How is this achieved?

$$Y = y_0 g(X/x_0) \quad [Y/y_0] = 1 \quad [X/x_0] = 1 \quad (Y/y_0) = g(X/x_0)$$

$$f(X) =: y_0 g(X/x_0) \quad g(s) = (1/y_0) f(x_0 s).$$

(X/x_0) and (Y/y_0) are dimensionless.

I am asking this question.

What happens to Y, if X is multiplied by a?

I mean, if $y_1 = f(x_1)$, $x_2 = a x_1$, $y_2 = f(x_2)$

What is the relation between y_2 and y_1 ?

The ratio (y_2/y_1) is like this $(y_2/y_1) = [g(x_2/x_0)]/[g(x_1/x_0)]$ <-- This relation contains x_0

$f(X) = y_0 g(X/x_0)$

What is the condition that the ratio (y_2/y_1) is independent of x_0 ?

That is, what is the condition that x_0 (which has the dimension of X), has no effect on the ratio (y_2/y_1) ?

Suppose that this is the case, that (y_2/y_1) is independent of x_0 .

So x_0 can be put equal to anything, and the result for (y_2/y_1) won't change.

Among these arbitrary values for x_0 , one can choose a specific one: $x_0 = x_1$.

The result is $(y_2/y_1) = [g(x_2/x_1)]/[g(1)] =: h(x_2/x_1)$

So far, it has been proved that $(y_2/y_1) = h(x_2/x_1)$

(Of course based on the assumption that x_0 doesn't appear in the ratio (y_2/y_1)).

$$(y_3/y_2) = h(x_3/x_2), \quad (y_3/y_1) = h(x_3/x_1), \quad (y_2/y_1) = h(x_2/x_1).$$

Obviously, $(y_3/y_1) = (y_3/y_2) (y_2/y_1)$

This relation between the left-hand sides, results in a similar relation between the right-hand sides:

$$h(x_3/x_1) = h(x_3/x_2) h(x_2/x_1)$$

This is a functional relation for h .

Of course, $(x_3/x_1) = (x_3/x_2) (x_2/x_1)$

Denote (x_3/x_2) and (x_2/x_1) by u and v , respectively.

The functional relation for h becomes

$$h(v u) = h(v) h(u)$$

What is the form of h , if h is supposed to satisfy this relation?

$$h(vu) = h(v)h(u)$$

To solve this relation,

The above holds for all v and u , including the case $v = u$.

$$\text{So, } h(uu) = h(u)h(u), \quad \text{that is, } h(u^2) = [h(u)]^2$$

h of the square of u , is equal to the square of $h(u)$.

$$\text{This argument can be repeated: } h(uu^2) = h(u)h(u^2) = h(u)h(u)h(u)$$

$$\text{So, } h(u^3) = [h(u)]^3$$

Continuing in this way, one arrives at

$$h(u^n) = [h(u)]^n, \text{ for any positive integer } n.$$

integer: 0, +1, -1, +2, -2, ...

positive integer (natural number): 1, 2, 3, ...

If you wish, you can be more rigorous and prove that by induction

That is,

Prove the statement for $n = 1$:

$$h(u^1) = [h(u)]^1$$

This is obviously correct, as it is $h(u) = h(u)$

Next, assume that the statement is correct for $n = m$: $h(u^m) = [h(u)]^m$

And prove that the statement is correct for $n = m+1$

$$h[u^{(m+1)}] = [h(u)]^{(m+1)}$$

This has to be proved.

$$h[u^{(m+1)}] = h(u u^m)$$

According to the functional relation, $h(u u^m) = h(u) h(u^m)$

According to the assumption, that the statement is correct for $n = m$, $h(u^m) = [h(u)]^m$

The result is that $h(u u^m) = h(u) [h(u)]^m$ But this means $h[u^{(m+1)}] = [h(u)]^{(m+1)}$

So the statement is also correct for $n = m+1$.

This concludes the proof of the statement (for all positive integers) by induction.

Now we know that if n is a positive integer, then $h(u^n) = [h(u)]^n$.

This is readily generalized to all integers:

First,

$$h(u) = h(1 \cdot u) = h(1) h(u)$$

This results in $h(1) = 1$

This proves the statement for $n = 0$: $h(u^0) = [h(u)]^0$

Because both sides are 1.

What about negative integers? Suppose that n is a negative integer. Then $(-n)$ is a positive integer.

So, $h[u^{(-n)}] = [h(u)]^{(-n)}$

But, as a result of the functional relation for h , $h(1) = h[u^n u^{(-n)}] = h(u^n) h[u^{(-n)}]$

As $h(1) = 1$, this results in $h(u^n) = 1 / \{h[u^{(-n)}]\} = 1 / \{[h(u)]^{(-n)}\} = [h(u)]^n$

So the statement is correct, even if n is a negative integer.

We have proved that the statement is correct for all integers: positive, zero, negative.

$$h(u^n) = [h(u)]^n, \text{ for all integers } n$$

Going further, suppose that n is a nonzero integer.

So $(1/n)$ is well-defined.

Question: Is the statement also correct if n is substituted by $(1/n)$?

That is, is it correct that $h[u^{(1/n)}] = [h(u)]^{(1/n)}$?

To check this, one way is to examine $h(u)$

$$u = [u^{(1/n)}]^n,$$

$$\text{So, } h(u) = h\{[u^{(1/n)}]^n\} = \{h[u^{(1/n)}]\}^n$$

Taking the n 'th root of the above, $[h(u)]^{(1/n)} = h[u^{(1/n)}]$.

Taking the n 'th root of something, means raising that thing to the power $(1/n)$

So the statement is correct if n is substituted with $(1/n)$.

Let's see what we have up to now:

If m is an integer, $h(u^m) = [h(u)]^m$

If n is a nonzero integer, $h[u^{(1/n)}] = [h(u)]^{(1/n)}$

Let's combine these two:

$$h[u^{(m/n)}] = h\{[u^{(1/n)}]^m\} = \{h[u^{(1/n)}]\}^m = \{[h(u)]^{(1/n)}\}^m = [h(u)]^{(m/n)}$$

So, if m and n are integers, and n is not zero, then

$$h[u^{(m/n)}] = [h(u)]^{(m/n)}$$

Any rational number q is equal to a ratio (m/n) , where m and n are integers and n is not zero.

This means that for all rational numbers q ,

$$h(u^q) = [h(u)]^q$$

I am going to extend this to real numbers.

Any real number r , is the limit of a sequence q of rational numbers:

$$r = \lim_{j \rightarrow \infty} q_j$$

q_j can be taken as the decimal representation of r , truncated at j numbers after the decimal point.

Example:

r is the square root of 2:

$$r^2 = 2$$

r is real, but not rational.

$$r = 1.41411356 \dots$$

the decimal representation of r

$$q_1 = 1.4, \quad q_2 = 1.41, \quad q_3 = 1.414, \dots$$

$$h(u^r) = h\{u^{(\lim_{j \rightarrow \infty} q_j)}\} = h\{\lim_{j \rightarrow \infty} [u^{(q_j)}]\}$$

(Exponentiation is continuous)

Assume that h is continuous. Then,

$$\begin{aligned} h\{\lim_{j \rightarrow \infty} [u^{(q_j)}]\} &= \lim_{j \rightarrow \infty} \{h[u^{(q_j)}]\} = \lim_{j \rightarrow \infty} \{[h(u)]^{(q_j)}\} \\ &= [h(u)]^{(\lim_{j \rightarrow \infty} q_j)} = [h(u)]^r \end{aligned}$$

The final result: if r is real, then

$$h(u^r) = [h(u)]^r.$$

h is a power function.

$$h(u) = ?$$

$u = \exp(w)$, which means that $w = \ln u$.

$$h(u) = h(e^w) = [h(e)]^w = e^{w \ln[h(e)]} = u^{\ln[h(e)]}$$

$h(u) = u^c$, where $c = \ln[h(e)]$ is a constant

What about f ?

It was seen that $f(x) = y_0 g(x/x_0) = y_0 g(1) h(x/x_0)$

So,

$$f(x) = y_0 g(1) (x/x_0)^c$$

$$f(x) = b x^c$$

This is the final result.

If the relation between Y and X doesn't depend on scales, scales are canceled in the relation between the ratios (y_2/y_1) and (x_2/x_1) , then the only possible relation between Y and X is a power law:

$Y = b X^c$, where b and c are constants.

Of course it was assumed that the relation between Y and X is continuous.

Examples:

The surface area S and the side L of a square: $S = L^2$

The volume V and the side L of a cube: $V = L^3$

The mass M and the radius R of a ball: $M = b R^3$

b is equal to $(4 \pi/3)$ times the density of the ball.